



# THE ALUMINUM ASSOCIATION, INC. MEMBERSHIP APPLICATION

**1 Please Print or Type**

Company Name \_\_\_\_\_

Main Office Address of Company \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip/Province Code \_\_\_\_\_ Country \_\_\_\_\_

Phone Number \_\_\_\_\_ Fax Number \_\_\_\_\_ Web site \_\_\_\_\_

Contact Name \_\_\_\_\_

Email Address \_\_\_\_\_

The above company hereby applies for membership in The Aluminum Association Incorporated and agrees to abide by its Bylaws.

**2 We are indicating opposite the various products listed below the percentage of our shipments normally sold in the open market:**

<b>Product</b>	<b>Approximate % Normally Sold in the Open Market</b>
Primary Aluminum	_____
Secondary Aluminum	_____
Aluminum Master Alloy & Additives	_____
Aluminum Sheet & Plate	_____
Aluminum Extruded & Tubular Products	_____
Aluminum Forgings & Impacts	_____
Aluminum Rolled & Continuous Cast Rod and Bar, and Bare Wire	_____
Aluminum Electrical Conductor	_____
Aluminum Electrical Conductor Accessories	_____
Aluminum Foil	_____
Aluminum Powder & Paste	_____
Aluminum Permanent and/or Semi-Permanent Mold Castings	_____
Aluminum Sand Castings	_____
Aluminum Die Castings	_____

**3 We produce the following semi-fabricated aluminum products not listed above:**

<b>Product</b>	<b>Approximate % Normally Sold in the Open Market</b>
_____	_____
_____	_____
_____	_____
_____	_____

**4** We have provided goods or services to the following aluminum companies:

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**5** We manufacture the following end use aluminum products:

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**6** Our company is engaged in the following business other than aluminum:

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**7** The following is a list of the officers of our company:

Chairman	Phone	Email
President	Phone	Email
Vice President	Phone	Email
Secretary	Phone	Email
Treasurer	Phone	Email

**8** The following is a list of the branch plants of our company, including wholly and majority owned subsidiaries operating under their own names:

Company or Plant	Address	Product	Approximate % Normally Sold in the Open Market
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>
<hr/>	<hr/>	<hr/>	<hr/>

**9** We employ \_\_\_\_\_ people in the production of aluminum and/or its products.  
(Please include all employees on an hourly or salaried basis.)

**10** In determining dues and assessments of the members of The Aluminum Association, we understand that consideration is given to the total tonnage shipped and dollar sales of specified aluminum products for a given twelve (12) month period. We are submitting such figures on the attached form with the understanding that they will be held in strict confidence and used only for the purpose of fixing dues and assessments.

We understand that, from time to time, additional requests for statistical information will be made, and that only the totals of such information will be released by the Association office. No individual company totals will be disclosed at any time.

**We desire to have the following individual as our Official Representative in The Aluminum Association, to whom all correspondence, notices, etc., regarding the Association should be addressed.**

Name \_\_\_\_\_  
Title \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Region \_\_\_\_\_  
Phone \_\_\_\_\_  
Email \_\_\_\_\_

Signed \_\_\_\_\_  
Name of Company \_\_\_\_\_  
Responsible Executive \_\_\_\_\_  
Date \_\_\_\_\_

**(All information requested in this application will be treated in a strictly confidential manner.)**

## MEMBERSHIP DIVISION FEES AND DUES YEAR 2017

### 1. PRODUCT DIVISION FEES – ALL MEMBERS

For each product division of which they are eligible members, all member companies will pay their pro-rated share of division membership fees, determined by an average based on both pound and sales value of product shipments, unless any division agrees to another funding formula for its division fee.

Independent company members which ship or sell two or more division products are not required to pay a fee for a second division if the second, smaller volume product constitutes less than 10 percent of the company's total two-product sales of the specified product. This exception does not apply to Primary Aluminum (Integrated) Producers.

### 2. CORE PROGRAM DUES

#### Producer Members (excluding Foundries)

Three Year Average Aluminum Units <sup>1</sup> (millions)	NORTH AMERICAN <sup>2</sup> U.S. MEMBERS		OTHER THAN NORTH AMERICAN <sup>2</sup>	
	Base	Increment over base (per 000 units)	Base	Increment over base (per 000 units)
Up to 33 to 50	6,750	0.20	13,500	0.20
50 to 150	10,125	0.14	16,875	0.14
150 and up	23,625	0.07	30,375	0.07

Formula subject to 2.5% surcharge

Increment on shipments to U.S.

#### Foundry Members

Three Year Average \$ Sales (USD) (millions)	NORTH AMERICAN <sup>2</sup> U.S. MEMBERS		OTHER THAN NORTH AMERICAN <sup>2</sup>	
	Base	Increment over base (per 000 units)	Base	Increment over base (per 000 units)
Up to 50 to 100	6,800	0.066	13,500	0.066
100 to 300	10,100	0.066	16,800	0.066
300 and up	23,300	0.066	30,000	0.066

Formula subject to 2.5% surcharge

Increment on shipments to U.S.

<sup>1</sup> Aluminum Units = Value of Sales plus Quantity of Shipments (including toll)

<sup>2</sup> North America — United States, Canada and Mexico

Producer company shares are calculated based on confidential "Dues Shipment Reports" which must be submitted annually by each member.

### 3. PAYMENTS

Dues payments are quarterly for producers and annual for associates.



To be returned to:  
**THE ALUMINUM ASSOCIATION, INC.**  
 Department of Statistics & Economics  
 1400 Crystal Drive, Suite 430  
 Arlington, VA 22202  
 Email: [rolsen@aluminum.org](mailto:rolsen@aluminum.org)

See Reverse Side for Reporting Instructions

FOR THE PERIOD JANUARY 1, 2016 TO DECEMBER 31, 2016		
Aluminum Products	Shipments <sup>1</sup> (thousands pounds)	Sales Value (thousand \$US)
Ingot, Primary		
Ingot, Secondary <sup>2</sup>		
Master Alloy & Additives		
Sheet & Plate		
Foil		
Extruded Products (rod & bar; pipe & tube; and other shapes)		
Forgings & Impacts		
Bar & Rod, and Bare Wire (Rolled or Cont. Cast)		
Electrical Conductor (ACSR & Bare; Insulated or Covered)		
Electrical Conductor Accessories		
Powder & Paste		
Foundry Castings		
Master Alloy & Additives		
<b>TOTAL:</b>		

Number of employees: U.S. \_\_\_\_\_ Canada \_\_\_\_\_ Mexico \_\_\_\_\_ Other \_\_\_\_\_

1. Figures reported should include **all shipments from U.S. plants** as well as **import shipments made to U.S. customers** of the above aluminum and aluminum alloy products to (1) outside customer companies, (2) to companies affiliated with you but not Aluminum Association members, and (3) within your company for fabrication beyond the products listed. Include shipments from the U.S. to all export markets and users.

2. Produced from aluminum scrap to specification for shipment from company-owned facilities exclusively devoted to producing ingot from scrap for sale. Include specification ingot, RSI, de-ox, etc.

\_\_\_\_\_  
 COMPANY  
 (Please print)

\_\_\_\_\_  
 RESPONSIBLE EXECUTIVE  
 (Please print)

**PLEASE RETAIN A DUPLICATE COPY FOR YOUR RECORDS**



## INSTRUCTIONS FOR ALUMINUM ASSOCIATION DUES REPORTING

**ALUMINUM AND OTHER MATERIALS** - Include shipments of listed aluminum and aluminum alloy products. Include the weight and sales value of paint. Include the sales value but exclude the weight of non-aluminum materials such as insulations and coverings, core and strand wires of other metals, and laminating materials.

**PRODUCTS COVERED** - Include separately shipments of all primary aluminum; secondary aluminum; aluminum plate and sheet; aluminum extruded products, including shapes, rod, bar, tubing (extruded and drawn), pipe, conduit and other extruded products; aluminum forgings and impacts; aluminum rolled bar and rod, and bare wire; aluminum electrical conductor and makers of aluminum electrical connectors; aluminum foil; aluminum piston castings; aluminum powder and paste; aluminum permanent and/or semi-permanent mold castings and/or aluminum sand castings; aluminum die castings; processed new and old scrap; aluminum master alloy and additives. Exclude: bauxite, alumina, chemicals, scrap and forms items fabricated beyond the products listed (such as screw machine products, cooking utensils, closures, collapsible tubes, cans, windows, etc.)

**SHIPMENTS COVERED** - Include shipments to outside companies, to affiliated companies that are not members of the Aluminum Association (see website for current listing), and within your company for fabrication beyond the products covered. Exclude intra-company transfers of stock required to produce a covered produce (for example, a company using its own ingot to produce sheet should include only the shipment of the sheet; and a company using its own redraw to produce wire should include only the shipment of the wire). Exclude shipments to companies affiliated with you that are producer members of the Aluminum Association.

**SHIPMENTS** - Net shipments consist of gross amount shipped less returns.

**SALES VALUE** - gross invoiced amount, less discounts, credits for price adjustment, returns, and other allowances. No reduction is to be made for purchased metal. The sales value for castings, forgings and impacts should be measured before machining. For listed products transferred (shipped) within your company for fabrication beyond the mill product stage, report as value of shipments the equivalent market value of the product. Mill products receiving further finishing or laminating beyond the mill product stage should be valued at the price you would purchase and/or sell the mill product for, before (value is added by) subsequent finishing, laminating, assembly, etc. operations. Where specific records are not available, your best estimates are acceptable.

**IMPORTS** - Include import shipments from foreign affiliates made to U.S. customers.

**EXPORTS** - Include export shipments to customers and export shipments to your foreign affiliates.

**TOLLS** - Tolls cover the conversion of aluminum scrap, ingot, billet or a mill product into ingot, billet or a mill product. The company doing the tolling for another company should report any tonnage for the transaction, including the toll charge in dollar sales. The company for whom the tolling is done should report the shipment and value of the final covered product as well.

**SWAPS AND SWITCHES** - Exclude shipments made as part of a swap or switch involving the even exchange of covered products. Include shipments made by another company to your customer as part of a swap or switch (your sale). Include shipments made as part of a swap or switch for non-aluminum products or aluminum products not listed.

**DISTRIBUTOR SHIPMENTS** - Association members who act as distributors for another company's products should exclude such shipments.

## PRODUCT DEFINITIONS

**INGOT, PRIMARY:** Primary aluminum, defined as the weight of liquid aluminum as tapped from the pots excluding returned scrap or remelted products, excluding alloy metal.

**INGOT, SECONDARY:** Ingot or molten aluminum produced from scrap and dross. Includes: New (prompt industrial) scrap, purchased, imported, or treated on toll, in all forms; and Old (post consumer or obsolete) scrap, including sweated pig; also remelt scrap ingot (RSI) which is considered scrap until remelted and cast into specification ingot.

**SHEET & PLATE:** SHEET — A rolled product, flat or coiled, rectangular in cross section, of 0.006" thickness but under 0.250" thickness. PLATE — A flat rolled product, rectangular in cross section, 0.250" or greater in thickness.

**FOIL:** A flat rolled product, rectangular in cross section, of thickness less than 0.006".

**EXTRUDED PRODUCTS (ROD & BAR; PIPE & TUBE; AND OTHER SHAPES):** EXTRUDED ROD AND BAR — A solid product, produced by extruding (sometimes brought to final dimensions by drawing), that is long in relation to cross section, which is round, square, rectangular, hexagonal, or octagonal in shape and 0.375" or greater in diameter or in at least one perpendicular distance between parallel faces. EXTRUDED PIPE AND TUBE — A hollow product, formed by extruding, that is long in relation to its cross section, which is round, square, rectangular, hexagonal, octagonal, or elliptical in shape. DRAWN TUBE — A hollow product that is long in relation to its cross section, which is round, square, rectangular, hexagonal, octagonal, or elliptical in shape, and brought to final dimensions by drawing. EXTRUDED SHAPES — A product produced by extruding, that is long in relation to its cross-sectional dimensions and has a cross section other than that of rod and bar and pipe and tube.

**FORGINGS & IMPACTS:** FORGINGS (excluding impact extrusions) - A product worked to a predetermined shape by one or more processes such as hammering, upsetting, pressing, etc. IMPACTS (excluding collapsible tubes and cans) - A product formed in a confining die from metal slug, usually cold, by rapid single stroke application of force through a punch, causing the metal to flow around the punch and/or through an opening in the punch or die.

**BAR & ROD, AND BARE WIRE (Rolled or Continuous Cast):** REDRAW ROD (CONTINUOUS-CAST OR ROLLED) — A solid round product that is long in relation to cross section, 0.375" or greater in diameter, produced by continuous casting followed by size-rolling, or by rolling from D.C. cast ingot, suitable for drawing into wire. WIRE, BARE, CONDUCTOR AND NONCONDUCTOR — A solid wrought product that is long in relation to its cross section, which is square, round, rectangular, hexagonal, or octagonal in shape, whose diameter or greatest perpendicular distance between parallel faces (except for flattened wire) is less than 0.375".

**ELECTRICAL CONDUCTOR (ACSR & Bare; Insulated or Covered):** ACSR AND ALUMINUM CABLE, BARE — Aluminum stranded conductor reinforced by a core of steel (ACSR), or aluminum (ACAR); or any other bare stranded aluminum conductor. WIRE AND CABLE, INSULATED OR COVERED — Aluminum electrical conductor wire or stranded conductors that are insulated or covered.

**POWDER & PASTE:** ATOMIZED POWDER — Powder produced by blowing or aspirating molten metal through an orifice. POWDER — An aggregate of discrete particles of aluminum, substantially all of which are finer than 1,000 microns (minus 18 mesh). FLAKED POWDER — Powder consisting of flat or scale-like particles of a thickness small compared with other dimensions, produced by milling in the presence of a lubricant. PASTE — A blend of powder or flake with a thinner or plasticizer.

**FOUNDRY CASTINGS:** A product made by pouring molten metal into a mold of a desired shape and letting it solidify. Includes but not limited to sand, die cast, permanent mold and semi-permanent mold.